

PCOL GREGORY B BOGÑALBAL – SEA to the Chief  
**Philippine National Police – Office of the  
Chief, PNP**  
PNP NHQ, Camp BGen Rafael T. Crame, Quezon City, Philippines

December 13, 2021

Subject: **2<sup>nd</sup> Follow-up Audit**

Dear PCOL GREGORY B BOGÑALBAL,

Greetings!

Enclosed is our report on the ISO 9001:2015 2<sup>nd</sup> Follow-up Audit conducted last September 8, 2021 for your company.

Thank you.

Best regards,

  
**Mica De Mesa**  
TÜV Rheinland Philippines Inc.  
Technical Support  
Systems Department

**TÜV Rheinland Philippines, Inc.**

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**Precisely Right.**

**CERTIFICATE**

**01 100 1900202TRP**

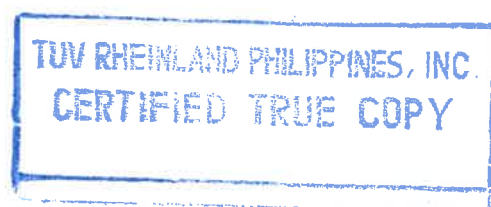
**Audit Report as per**

**ISO 9001:2015**

**For**

**Philippine National Police – Office of the  
Chief, PNP**

PNP NHQ, Camp BGen Rafael T. Crame, Quezon City, Philippines



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# Audit Report

Client	Standard(s)	Certification Number(s)	Audit Type
Philippine National Police – Office of the Chief, PNP	ISO 9001:2015	01 100 1900202TRP	Second Surveillance Audit

**Audit Leader** : Lionell G. Aala

**Audit Team** : Hilario Capili

**Client's representative** : PCOL GREGORY B BOGÑALBAL – SEA to the Chief  
PCOL LOU F EVANGELISTA – EA to the Chief

**Audit Date** : Sept. 8, 2021

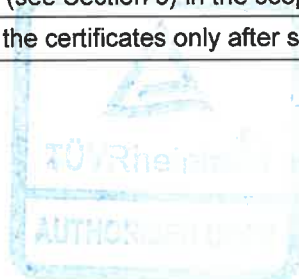
## 1 Audit result

Management system effectiveness was verified on site by means of random sampling by an appropriately selected audit team. This applies in particular to the compliance of workflows with standard requirements and the descriptions in management system documentation. The audit objectives as mentioned in the audit plan, the special features of the organization's business activities, the applicable statutory and regulatory requirements and the requirements set forth in other generally applicable documents were also taken into account. This was done by means of a sampling approach, by conducting interviews and reviewing the appropriate documentation. Audit findings and recommendations regarding opportunities for improvement have been set forth in Sections 4 of this report.

<input type="checkbox"/>	The last audit revealed nonconformities which have been demonstrably corrected. The corrections and corrective actions taken in this respect have been verified.						
<input type="checkbox"/>	A stage 1 audit was performed and the organization found ready for certification. Identified weaknesses, if any, have been eliminated and the respective corrective action verified.						
<input checked="" type="checkbox"/>	<p>The current audit revealed the following nonconformities:</p> <table border="1"> <thead> <tr> <th>Standard(s):</th> <th>No. of major nonconformity</th> <th>No. of minor nonconformity</th> </tr> </thead> <tbody> <tr> <td>ISO 9001</td> <td>Zero (0)</td> <td>Zero (0)</td> </tr> </tbody> </table>	Standard(s):	No. of major nonconformity	No. of minor nonconformity	ISO 9001	Zero (0)	Zero (0)
Standard(s):	No. of major nonconformity	No. of minor nonconformity					
ISO 9001	Zero (0)	Zero (0)					
<input type="checkbox"/>	The major nonconformities (No. x) with individual standard elements require a re-audit to verify the effectiveness of the corrections and corrective actions (probable date:.. dd.mm.yyyy)						
<input checked="" type="checkbox"/>	The organization has established and maintains an effective system to ensure compliance with its policy and objectives. The audit team confirms in line with the audit targets that the organization's management system complies with, adequately maintains and implements the requirements of the standard(s).						

The auditor/audit team therefore recommends

<input type="checkbox"/>	Award of the new certificates.
<input checked="" type="checkbox"/>	Maintenance of the existing certification.
<input type="checkbox"/>	Inclusion of the changes (see Section 3) in the scope of application of existing certifications
<input type="checkbox"/>	Maintenance or issue of the certificates only after successful completion of a re-audit.



# Audit Report

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Philippine National Police – Office of the Chief, PNP	ISO 9001:2015	01 100 1900202TRP	Second Surveillance Audit

No.	Unit/Department Site	Recommendations and opportunities for improvement
1	Internal Audit	<p>Internal auditor verified the action. Statement of sampling verified may consistently observe.</p> <p>The following will be checked next audit:</p> <ul style="list-style-type: none"> <li>- Conduct on the audit of internal audit process</li> <li>- Timeline in auditing processes thru the audit plan</li> </ul>
2	Admin	May consider to review the need to put date and time on the stamp at back page of document to identify actual time on completion of review aside from OLCIM monitoring.
3	Message Center	Where the identified Risk was transferred to other units outside the Office of the Chief (e.g. OLCIMS risk to IT), consider updating the Target Risk on the Risk Registry based on the assessment of the unit who accepted the Risk.
4	Legal / Proof Reader	<p>For documents requiring legal interventions, consider determining the percentage of documents returned to the originator that needs correction. Gathered data can be used to identify where improvement to the process is needed.</p> <p>Consider establishing a traceability and analysis of the returned documents after the correction in cases where other needed correction was determined upon the re-submission.</p>
5	Logistics Police Non Commissioned Officer (Equipment Maintenance)	For problems encountered beyond the preventive maintenance schedule, consider keeping a record of the reported concern so that it can be used to analyse and determine the needed enhancement on the maintenance activities.

## 5 Dates

**Due Date for the next audit**

2022 October 28

**Agreed date for the next audit**

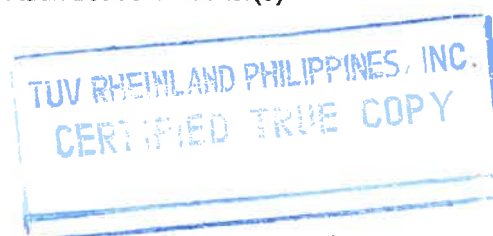
2022 September 28

Sept. 14, 2021

Date

  
Mr. Lionell G. Aala

Audit Leader / Auditor(s)



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