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CERTIFICATE NO. 01 100 2104013TRP

**Stage 2 Certification Audit Report as per
ISO 9001:2015**

for

**Philippine National Police - Directorate for
Research and Development**

NHQ PNP Camp Bgen Rafael T Crame, Quezon City 1111

Client	Standard(s)	Certification Number(s)	Audit Type
Philippine National Police - Directorate for Research and Development	ISO 9001:2015	01 100 2104013TRP	Stage 2 Certification Audit

Audit Leader : Mr. Lionell G. Aala

Audit Team : Mr. John Francis Faustorilla Jr. (JF), Mr. Fronie Aligway (FA)

Client's representative : PMGEN DOMINGO R. LUCAS, The Director for Research and Development

Audit Date : Sept. 20, 2021

1 Audit result

Management system effectiveness was verified on site by means of random sampling by an appropriately selected audit team. This applies in particular to the compliance of workflows with standard requirements and the descriptions in management system documentation. The audit objectives as mentioned in the audit plan, the special features of the organization's business activities, the applicable statutory and regulatory requirements and the requirements set forth in other generally applicable documents were also taken into account. This was done by means of a sampling approach, by conducting interviews and reviewing the appropriate documentation. Audit findings and recommendations regarding opportunities for improvement have been set forth in Sections 4 of this report.

<input type="checkbox"/>	The last audit revealed nonconformities which have been demonstrably corrected. The corrections and corrective actions taken in this respect have been verified.						
<input checked="" type="checkbox"/>	A stage 1 audit was performed and the organization found ready for certification. Identified weaknesses, if any, have been eliminated and the respective corrective action verified.						
<input checked="" type="checkbox"/>	<p>The current audit revealed the following nonconformities:</p> <table border="0"> <thead> <tr> <th>Standard(s):</th> <th>No. of major nonconformity</th> <th>No. of minor nonconformity</th> </tr> </thead> <tbody> <tr> <td>ISO 9001</td> <td>Zero (0)</td> <td>Zero (0)</td> </tr> </tbody> </table>	Standard(s):	No. of major nonconformity	No. of minor nonconformity	ISO 9001	Zero (0)	Zero (0)
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ISO 9001	Zero (0)	Zero (0)					
<input type="checkbox"/>	The major nonconformities (No. x) with individual standard elements require a re-audit to verify the effectiveness of the corrections and corrective actions (probable date:.. dd.mm.yyyy)						
<input checked="" type="checkbox"/>	The organization has established and maintains an effective system to ensure compliance with its policy and objectives. The audit team confirms in line with the audit targets that the organization's management system complies with, adequately maintains and implements the requirements of the standard(s).						

The auditor/audit team therefore recommends:

<input checked="" type="checkbox"/>	Award of the new certificates.
<input type="checkbox"/>	Maintenance of the existing certification.
<input type="checkbox"/>	Inclusion of the changes (see Section 3) in the scope of application of existing certifications
<input type="checkbox"/>	Maintenance or issue of the certificates only after successful completion of a re-audit.

Audit Report

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2	WTCD	In general, test parameters were followed. On next test and evaluation as applicable, consider to include in the report the estimated actual full load and capacity of such vehicle upon testing.
3	Internal Audit	May consider to include qualification requirements of an auditor in QMS guideline. May need to observe clarification on correction and corrective action. Sample CCAR-2021-04-1 shows only correction while corrective action focus only on preventive maintenance. Sampling statement could further expound.
4	Information Technology	May need to include the activity on preventive maintenance of ICT devices/equipment (i.e. laptop, desktop, etc.) as quality objective to be monitored at scorecard performance monitoring.
5	Logistics Management	May need to include the activity on the delivery of purchased items as quality objective to be monitored at scorecard performance monitoring.
6	Risk and Opportunity (Common to Financial Management, IT, Facility & Logistics Management)	May need to be specific in setting a target date for every action taken (as sampled, common phrase/word like CY 2021 or CY 2022 are indicated) at the risk and opportunity register.
7	Training	Ensure to validate training effectiveness with webinars participated by DRD personnel. The 20-Day Research and Development Course is scheduled to be conducted October 2021. The training effectiveness evaluation of this course and other trainings / webinars conducted will be checked during the next audit.
8	CCLED	Review to include the design inputs (i.e., instruction from the C, PNP, Capability Enhancement Program) in the process flow as a true start. Review including the justification and reference documents in the standards design document for ease of reference on proposed design. Ensure to improve Plant Visit checklist in terms of intended use and actual usage.

5 Dates

Due Date for the next audit

2022 March 20

Agreed date for the next audit

2022 February 20

Sept. 25, 2021

Date



Mr. Lionell Aala

Audit Leader / Auditor(s)