



TÜVRheinland®

Precisely Right.

01 100 2104015TRP

Initial Audit Report as per

ISO 9001:2015

For

**PHILIPPINE NATIONAL POLICE – DHRDD –
UTPDD – IN-SERVICE TRAINING SECTION**

**NHQ BLDG., CAMP BGEN RAFAEL T
CRAME, QUEZON CITY 1111 PHILIPPINES**

Client	Standard(s)	Certification Number(s)	Audit Type
PHILIPPINE NATIONAL POLICE – DHRDD – UTPDD – IN-SERVICE TRAINING SECTION	ISO 9001:2015	01 100 2104015TRP	1 st Bi-annual Audit

Audit Leader : Mary Grace Balobalo
Audit Team : NA
Client's representative : PBGEN ARTHUR V. BISNAR
Audit Date : November 23, 2021

1 Audit result

Management system effectiveness was verified on site by means of random sampling by an appropriately selected audit team. This applies in particular to the compliance of workflows with standard requirements and the descriptions in management system documentation. The special features of the organization's business activities, the applicable statutory and regulatory requirements and the requirements set forth in other generally applicable documents were also taken into account. This was done by means of a sampling approach, by conducting interviews and reviewing the appropriate documentation. Audit findings and recommendations regarding opportunities for improvement have been set forth in Sections 4 of this report.

<input type="checkbox"/>	The last audit revealed nonconformities which have been demonstrably corrected. The corrections and corrective actions taken in this respect have been verified.						
<input type="checkbox"/>	A stage 1 audit was performed and the organization found ready for certification. Identified weaknesses, if any, have been eliminated and the respective corrective action verified.						
<input checked="" type="checkbox"/>	<p>The current audit revealed the following nonconformities:</p> <table border="0"> <thead> <tr> <th>Standard(s):</th> <th>No. of major nonconformity</th> <th>No. of minor nonconformity</th> </tr> </thead> <tbody> <tr> <td>ISO 9001</td> <td>Zero (0)</td> <td>Zero (0)</td> </tr> </tbody> </table>	Standard(s):	No. of major nonconformity	No. of minor nonconformity	ISO 9001	Zero (0)	Zero (0)
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ISO 9001	Zero (0)	Zero (0)					
<input type="checkbox"/>	The major nonconformities (No. x) with individual standard elements require a re-audit to verify the effectiveness of the corrections and corrective actions (probable date: .ddmmyyyy)						
<input checked="" type="checkbox"/>	The organization has established and maintains an effective system to ensure compliance with its policy and objectives. The audit team confirms in line with the audit targets that the organization's management system complies with, adequately maintains and implements the requirements of the standard(s).						

The auditor therefore recommends:

<input type="checkbox"/>	Award of the new certificates.
<input checked="" type="checkbox"/>	Maintenance of the existing certification.
<input type="checkbox"/>	Inclusion of the changes (see Section 3) in the scope of application of existing certifications
<input type="checkbox"/>	Maintenance or issue of the certificates only after successful completion of a re-audit.

2 Scope

2.1 Description of the organization

The Directorate for Human Resource and Doctrine Development (DHRDD) was formally activated on November 1, 1993 by virtue of the Chief, PNP's Letter Directive dated October 28, 1993.

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The following recommendations and opportunities for improvement provided by [the auditor](#) are intended to contribute to the continuous improvement of the management system.

No.	Unit/Department Site	Recommendations and opportunities for improvement
1	ISTS	Course training evaluation is conducted and reported by the training units to ISTS. Consider to periodically provide collective information about how satisfied the participants/ trainees with the trainings/ how well the objectives of trainings were achieved.
2	ISTS	There is a need to improve the on time submission of After Activity Report of trainings conducted. Difference in the Quarterly Scorecard of ISTS and Monitoring Records was noted due to late submission.
3	Records Management	Review how processes of managing records can be streamlined. E.g. After Activity Reports are scanned and saved in the computer after receipt from Admin which was downloaded from OLCIMS.
4	Records Management	Align the Masterlist of documented information to the list of documented information sent to NAP for review of retention and disposition.

5 Dates

Due Date for the next audit 2022 August 05

Agreed date for the next audit 2022 July

November 23, 2021

Date


Mary Grace Balobalo/ NA

Audit Leader / Auditor(s)