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CN 01 100 2103006TRP

Audit Report as per

ISO 9001:2015

For

Philippine National Police – Criminal Investigation and Detection Group

Camp BGen Rafael T Crame, Quezon City, 1111, Philippines

Client	Standard(s)	Certification Number(s)	Audit Type
Philippine National Police – Criminal Investigation and Detection Group	ISO 9001:2015	CN 01 100 2103006TRP	Stage 2 - Certification Audit - Remote

Audit Leader : Mary Grace Balobalo

Audit Team : Zenaida Cruz, Maribeth Lucañas, Rhene Rose Zara, Fronie Aligway, Edna Castillo

Client's representative : PBGEN OLIVER A ENMODIAS – Deputy Director Administration
PLTCOL MA IVY P CASTILLO – QMR

Audit Date : 2021 June 08

1 Audit result

Management system effectiveness was verified on site by means of random sampling by an appropriately selected audit team. This applies in particular to the compliance of workflows with standard requirements and the descriptions in management system documentation. The special features of the organization's business activities, the applicable statutory and regulatory requirements and the requirements set forth in other generally applicable documents were also taken into account. This was done by means of a sampling approach, by conducting interviews and reviewing the appropriate documentation. Audit findings and recommendations regarding opportunities for improvement have been set forth in Sections 4 of this report.

<input type="checkbox"/>	The last audit revealed nonconformities which have been demonstrably corrected. The corrections and corrective actions taken in this respect have been verified.						
<input checked="" type="checkbox"/>	A stage 1 audit was performed and the organization found ready for certification. Identified weaknesses, if any, have been eliminated and the respective corrective action verified.						
<input type="checkbox"/>	The current audit revealed the following nonconformities: <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 40%;">Standard(s):</th> <th style="width: 30%;">No. of major nonconformity</th> <th style="width: 30%;">No. of minor nonconformity</th> </tr> </thead> <tbody> <tr> <td>ISO 9001</td> <td>None (0)</td> <td>None (0)</td> </tr> </tbody> </table>	Standard(s):	No. of major nonconformity	No. of minor nonconformity	ISO 9001	None (0)	None (0)
Standard(s):	No. of major nonconformity	No. of minor nonconformity					
ISO 9001	None (0)	None (0)					
<input type="checkbox"/>	The major nonconformities (No. x) with individual standard elements require a re-audit to verify the effectiveness of the corrections and corrective actions (probable date: .ddmmyyyy)						
<input checked="" type="checkbox"/>	The organization has established and maintains an effective system to ensure compliance with its policy and objectives. The audit team confirms in line with the audit targets that the organization's management system complies with, adequately maintains and implements the requirements of the standard(s).						

The audit team therefore recommends:

<input checked="" type="checkbox"/>	Award of the new certificates.
<input type="checkbox"/>	Maintenance of the existing certification.
<input type="checkbox"/>	Inclusion of the changes (see Section 3) in the scope of application of existing certifications
<input type="checkbox"/>	Maintenance or issue of the certificates only after successful completion of a re-audit.

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7	Technical and Work Environment Management – Technical Support Section/IT	<p>May need to consider adding the installed OS, MS Office and Anti-virus software version at the Computer Preventive Maintenance Checklist.</p> <p>The following will be checked next audit;</p> <ul style="list-style-type: none"> • Updates on scorecards related to IT activities <p>Updates on risk and opportunity identification related to IT activities</p>
8	Technical and Work Environment Management – Building and Facilities	May improve the Annual Preventive Maintenance Plan by plotting the plan versus the actual date of each items subject for preventive maintenance schedule.
9.	Internal audit	<p>The following need to be improved on the itinerary plan:</p> <ul style="list-style-type: none"> - Need to include specific process name and auditees on the itinerary plan - Ensure to clarify the role of audit team .
10	Corrective Action	<p>Need to enhance root cause analysis to determine the real root cause and come up with an effective corrective action to prevent recurrence. Likewise, ensure , corrective action is aligned with root cause.(e.g., CCAR Number: LS-CCAR -02.</p> <p>Consider providing logsheet for all Correction and Corrective Action Report for Monitoring and tracking of progress</p>
11	Documentation Management	Consider improving document revision history to include changes made on the document for traceability and future reference.
12	Legal Management / Financial Management	Review the risk identified, may focus on process risks that the unit can control E.g. lack of manpower or multi-tasking training is beyond unit's control.

5 Dates

Due Date for the next audit

2021 June 08

Agreed date for the next audit

2021 May

2021 June 11

Date


Mary Grace Balobalo/

Audit Leader / Auditor(s)