

| Client | Standard(s) | Certification Number(s) | Audit Type |
|---|---------------|-------------------------|------------------------------------|
| Philippine National Police - Communications and Electronics Service | ISO 9001:2015 | 01 100 2104010TRP | First Bi-annual Surveillance Audit |

Audit Leader : Rolando Remitar
Audit Team : None
Client's representative : PBGEN PATRICK T VILLACORTE -Director
 PLTCOL PILOR, RONNIE M –Assistant Chief ARMD/QMR
Audit Date : 2021 Nov. 23 – 2021 Nov. 23

1 Audit result

Management system effectiveness was verified on site by means of random sampling by an appropriately selected audit team. This applies in particular to the compliance of workflows with standard requirements and the descriptions in management system documentation. The audit objectives as mentioned in the audit plan, the special features of the organization's business activities, the applicable statutory and regulatory requirements and the requirements set forth in other generally applicable documents were also taken into account. This was done by means of a sampling approach, by conducting interviews and reviewing the appropriate documentation. Audit findings and recommendations regarding opportunities for improvement have been set forth in Sections 4 of this report.

| <input type="checkbox"/> | The last audit revealed nonconformities which have been demonstrably corrected. The corrections and corrective actions taken in this respect have been verified. | | | | | | |
|-------------------------------------|---|----------------------------|----------------------------|----------------------------|----------|----------|----------|
| <input type="checkbox"/> | A stage 1 audit was performed and the organization found ready for certification. Identified weaknesses, if any, have been eliminated and the respective corrective action verified. | | | | | | |
| <input checked="" type="checkbox"/> | The current audit revealed the following nonconformities: <table border="0" style="width: 100%;"> <thead> <tr> <th style="text-align: left;">Standard(s):</th> <th style="text-align: center;">No. of major nonconformity</th> <th style="text-align: center;">No. of minor nonconformity</th> </tr> </thead> <tbody> <tr> <td>ISO 9001</td> <td style="text-align: center;">Zero (0)</td> <td style="text-align: center;">Zero (0)</td> </tr> </tbody> </table> | Standard(s): | No. of major nonconformity | No. of minor nonconformity | ISO 9001 | Zero (0) | Zero (0) |
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| ISO 9001 | Zero (0) | Zero (0) | | | | | |
| <input type="checkbox"/> | The major nonconformities (No. x) with individual standard elements require a re-audit to verify the effectiveness of the corrections and corrective actions (probable date:.. dd.mm.yyyy) | | | | | | |
| <input checked="" type="checkbox"/> | The organization has established and maintains an effective system to ensure compliance with its policy and objectives. The audit team confirms in line with the audit targets that the organization's management system complies with, adequately maintains and implements the requirements of the standard(s). | | | | | | |

The auditor/audit team therefore recommends:

| | |
|-------------------------------------|---|
| <input type="checkbox"/> | Award of the new certificates. |
| <input checked="" type="checkbox"/> | Maintenance of the existing certification. |
| <input type="checkbox"/> | Inclusion of the changes (see Section 3) in the scope of application of existing certifications |
| <input type="checkbox"/> | Maintenance or issue of the certificates only after successful completion of a re-audit. |

Audit Report

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|---|---|--|
| 3 | Administrative and Resource Management Division | Aside from the timeliness monitoring of supplier's delivery, the department may consider the timeliness monitoring of materials as the time it was requested. |
| 4 | Facilities Operations and Communications Division | Specific contingency plan may be conducted for every specific event to contextualized the situation align with the established procedure |
| 5 | Records | The following may be improved: <ul style="list-style-type: none">- Disposal of the old records as awaiting for the go signal from National Archive office- Masterlist of Records to cover the retention, location and disposal of records |
| 6 | Research and Training | May ensure the following: <ul style="list-style-type: none">-establish the validity and reliability of exams (e.g. Table of Specification of Exams, Item Analysis covering difficulty level, discriminant , option analysis and etc)- consistently implement the evaluation of training effectiveness (e.g. |

5 Dates

Due Date for the next audit

2022-03- 08

Agreed date for the next audit

2022-02

Nov. 23, 2021

Date



Rolando Remitar

Audit Leader / Auditor(s)