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**CERTIFICATE NO. 01 100 2103004TRP**

**Stage 2 Certification Audit Report as per**

**TR Cert – ISO 9001:2015**

for

**PNP Maritime Group**

Camp BGen Rafael T Crame, Quezon City, 1111, Philippines

Client	Standard(s)	Certification Number(s)	Audit Type
PNP Maritime Group	ISO 9001:2015	01 100 2103004TRP	Stage 2 Certification Audit

**Audit Leader** : Mary Grace Balobalo  
**Audit Team** : Abigail Salomon, Lionell Aala  
**Client's representative** : PMAJ FLORENTINO M SEGUN JR. - QMR  
**Audit Date** : 2021 April 05-06

## 1 Audit result

Management system effectiveness was verified on site by means of random sampling by an appropriately selected audit team. This applies in particular to the compliance of workflows with standard requirements and the descriptions in management system documentation. The special features of the organization's business activities, the applicable statutory and regulatory requirements and the requirements set forth in other generally applicable documents were also taken into account. This was done by means of a sampling approach, by conducting interviews and reviewing the appropriate documentation. Audit findings and recommendations regarding opportunities for improvement have been set forth in Sections 4 of this report.

<input type="checkbox"/>	The last audit revealed nonconformities which have been demonstrably corrected. The corrections and corrective actions taken in this respect have been verified.						
<input checked="" type="checkbox"/>	A stage 1 audit was performed and the organization found ready for certification. Identified weaknesses, if any, have been eliminated and the respective corrective action verified.						
<input checked="" type="checkbox"/>	The current audit revealed the following nonconformities: <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;">Standard(s):</th> <th style="width: 35%;">No. of major nonconformity</th> <th style="width: 35%;">No. of minor nonconformity</th> </tr> </thead> <tbody> <tr> <td>ISO 9001</td> <td style="text-align: center;">None (0)</td> <td style="text-align: center;">None (0)</td> </tr> </tbody> </table>	Standard(s):	No. of major nonconformity	No. of minor nonconformity	ISO 9001	None (0)	None (0)
Standard(s):	No. of major nonconformity	No. of minor nonconformity					
ISO 9001	None (0)	None (0)					
<input type="checkbox"/>	The major nonconformities (No. x) with individual standard elements require a re-audit to verify the effectiveness of the corrections and corrective actions (probable date: ddmmyyyy)						
<input checked="" type="checkbox"/>	The organization has established and maintains an effective system to ensure compliance with its policy and objectives. The audit team confirms in line with the audit targets that the organization's management system complies with, adequately maintains and implements the requirements of the standard.						

The auditors therefore recommend:

<input checked="" type="checkbox"/>	Award of the new certificate.
<input type="checkbox"/>	Maintenance of the existing certification.
<input type="checkbox"/>	Inclusion of the changes (see Section 3) in the scope of application of existing certifications
<input type="checkbox"/>	Maintenance or issue of the certificates only after successful completion of a re-audit.

## 2 Scope

### 2.1 Description of the organization

<b>Organization's Background</b>	The PNP Maritime Group formerly PNP Maritime Command was created pursuant to Section 35 para (b)(1) of Republic Act 6975 ( amended by RA 8551 and further amended by RA 9708). The change in name was through
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8	Quality Policy	Review the Quality Policy statement with regard to the commitment to continual improvement of the quality management system. The commitment as stated in the Quality Policy is limited only to Quality Policy.
9	Documentation and Records Management	<p>May include the following in the Operating Guidelines on Document Control:</p> <ul style="list-style-type: none"> <li>– details about the revision/amendment of documents from start of the process, distribution of updated copy to respective copyholders, and managing of obsolete copies</li> <li>– details about protection of documents and records</li> </ul>
10	Internal and external communication process	<p>May consider to include as one of the features of OLCIMS platform the number of open communications. This is to further monitor whether there are still backlog that needs to be addressed.</p> <p>May consider to scan the completed Routing Slip for traceability purposes.</p> <p>May include in the Risks Register associated risks of having poor internet connection and take actions as necessary</p>

## 5 Dates

**Due Date for the next audit**

2021 October 6

**Agreed date for the next audit**

2021 October

2021 April 06

Date



Mary Grace Balobalo

Audit Leader / Auditor(s)