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CERTIFICATE

01 100 2104011TRP

**1st Bi Annual Surveillance Audit Report as
per**

ISO 9001:2015

for

**Philippine National Police - Directorate for
Information and Communications Technology
Management (System Management Section - SMID)**

2nd Flr, IMS Bldg., Camp BGen Rafael T Crame, 1111 Quezon City, Philippines

Client	Standard	Certification Number(s)	Audit Type
Philippine National Police - Directorate for Information and Communications Technology Management (System Management Section – SMID)	ISO 9001:2015	01 100 2104011TRP	1 st Bi – Annual Surveillance Audit

Audit Leader : Mr. Lionell G. Aala

Audit Team : NA

Client's representative : PMGEN RHODERICK ARMAMENTO, Director DICTM
PLTCOL MICHAEL S. MACAPAGAL, QMR/Admin Officer

Audit Date : Oct. 29, 2021

1 Audit result

Management system effectiveness was verified on site by means of random sampling by an appropriately selected audit team. This applies in particular to the compliance of workflows with standard requirements and the descriptions in management system documentation. The audit objectives as mentioned in the audit plan, the special features of the organization's business activities, the applicable statutory and regulatory requirements and the requirements set forth in other generally applicable documents were also taken into account. This was done by means of a sampling approach, by conducting interviews and reviewing the appropriate documentation. Audit findings and recommendations regarding opportunities for improvement have been set forth in Sections 4 of this report.

<input type="checkbox"/>	The last audit revealed nonconformities which have been demonstrably corrected. The corrections and corrective actions taken in this respect have been verified.
<input type="checkbox"/>	A stage 1 audit was performed and the organization found ready for certification. Identified weaknesses, if any, have been eliminated and the respective corrective action verified.
<input checked="" type="checkbox"/>	The current audit revealed xx major nonconformities and xx minor nonconformities.
<input type="checkbox"/>	The major nonconformities (No. x) with individual standard elements require a re-audit to verify the effectiveness of the corrections and corrective actions (probable date:.ddmmyyyy)
<input checked="" type="checkbox"/>	The organization has established and maintains an effective system to ensure compliance with its policy and objectives. The audit team confirms in line with the audit targets that the organization's management system complies with, adequately maintains and implements the requirements of the stand-

The auditor therefore recommends (provided nonconformity response has been approved):

<input type="checkbox"/>	Award of the new certificates.
<input checked="" type="checkbox"/>	Maintenance of the existing certification.
<input type="checkbox"/>	Inclusion of the changes (see Section 3) in the scope of application of existing certifications
<input type="checkbox"/>	Maintenance or issue of the certificates only after successful completion of a re-audit.

2 Scope

2.1 Description of the organization

The Philippine National Police (PNP) as the premier law enforcement agency of the Philippines is gearing towards excellence, professionalism, and transparency in the performance of its mandate. As such, among the PNP Program Thrusts for 2019 is to have a responsive and an International Organization for Standardization (ISO) compliant PNP front line services, at par with other world-class police organizations.

Audit Report

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3	Core Process	<p>Inspection Report could still further improve; clustering / detailed inspection per criteria.</p> <p>As Memorandum Circular 2014-33 is currently on review, the following may be considered:</p> <ul style="list-style-type: none"> - Manner of conducting inspection process including extent of inspection coverage - Identifying accredited training provider and applicable accredited courses or standardize set of information or references to support competency requirements (e.g. ICT project mgmt., Communications Engineering) - Evidence based requirement on competency(ies) of Inspection Team <p>The need to include sampling inspection in terms of number of connections to be inspected for multiple connections on a single database with single server unit</p>
4	Management	<p>As system certification plays important role in assessing sustainability of Information Systems used by PNP units and offices, may consider the need to create a concrete plan including time table which may serve as reference in preparing a comprehensive memorandum for certification process.</p>

5 Dates

Due Date for the next audit

2022 July 6 (-3 months/+0 day)

Agreed date for the next audit

2022 June (as per ISO secretariat)

Oct. 29, 2021

Date



Mr. Lionell Aala

Audit Leader / Auditor(s)