

Client	Standard(s)	Certification Number(s)	Audit Type
Philippine National Police (PNP) - Directorate for Operations (DO), Special Operation Division	ISO 9001:2015	01 100 2103001TRP	1 st Bi-Annual Audit (BA1)



CN 01 100 2103001TRP

Audit Report as per

ISO 9001:2015

For

**Philippine National Police (PNP) – Directorate for
Operations (DO), Special Operation Division**

**3/F NHQ-PNP Building, Camp BGen Rafael T Crame,
Quezon City 1111, Philippines**

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Audit Leader : Minda Fe Villapando
Audit Team : Michelle Pestañas
Client's representative : PLTCOL GENIE L BALUBAL - QMR
Audit Date : September 30, 2021

1 Audit result

Management system effectiveness was verified on site by means of random sampling by an appropriately selected audit team. This applies in particular to the compliance of workflows with standard requirements and the descriptions in management system documentation. The special features of the organization's business activities, the applicable statutory and regulatory requirements and the requirements set forth in other generally applicable documents were also taken into account. This was done by means of a sampling approach, by conducting interviews and reviewing the appropriate documentation. Audit findings and recommendations regarding opportunities for improvement have been set forth in Sections 4 of this report.

<input type="checkbox"/>	The last audit revealed nonconformities which have been demonstrably corrected. The corrections and corrective actions taken in this respect have been verified.						
<input type="checkbox"/>	A stage 1 audit was performed and the organization found ready for certification. Identified weaknesses, if any, have been eliminated and the respective corrective action verified.						
<input checked="" type="checkbox"/>	The current audit revealed the following nonconformities: <table border="0" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left; color: blue;">Standard(s):</th> <th style="text-align: center; color: blue;">No. of major nonconformity</th> <th style="text-align: center; color: blue;">No. of minor nonconformity</th> </tr> </thead> <tbody> <tr> <td style="color: blue;">ISO 9001</td> <td style="text-align: center; color: blue;">Zero (0)</td> <td style="text-align: center; color: blue;">Zero (0)</td> </tr> </tbody> </table>	Standard(s):	No. of major nonconformity	No. of minor nonconformity	ISO 9001	Zero (0)	Zero (0)
Standard(s):	No. of major nonconformity	No. of minor nonconformity					
ISO 9001	Zero (0)	Zero (0)					
<input type="checkbox"/>	The major nonconformities (No. x) with individual standard elements require a re-audit to verify the effectiveness of the corrections and corrective actions (probable date: ddmmyyyy)						
<input type="checkbox"/>	The organization has established and maintains an effective system to ensure compliance with its policy and objectives. The audit team confirms in line with the audit targets that the organization's management system complies with, adequately maintains and implements the requirements of the standard(s).						

The auditor therefore recommends:

<input type="checkbox"/>	Award of the new certificates.
<input checked="" type="checkbox"/>	Maintenance of the existing certification.
<input type="checkbox"/>	Inclusion of the changes (see Section 3) in the scope of application of existing certifications
<input type="checkbox"/>	Maintenance or issue of the certificates only after successful completion of a re-audit.

2 Scope

2.1 Description of the organization

On August 8, 1975, Presidential Decree No. 70 was issued establishing the PC/IONP as the country's police force. The local police units were integrated into a national police force with the Philippine Constabulary (PC) as its nucleus.

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4	Logistical Management	<p>Ensure to monitor the maintenance plan to show that the planned activity is carried out as per schedule. At present only maintenance plan is available.</p> <p>Consider to improve the documented evidence of maintenance including traceability of equipment (e.g. equipment control no.), equipment status after the maintenance or further recommendations (if any). At present photos of maintenance activity for vehicles, air-conditioning units are available.</p>
5MP	IT	<p>Consider improving the monitoring achievement performance against planned target. (i.e. Preventive Maintenance of Equipment, Corrective Maintenance = 1 day Turn-Around-Time)</p> <p>May consider having copy furnished the specific IT Personnel relevant to the results of the Preventive Maintenance & Corrective Maintenance satisfaction survey.</p> <p>Ensure completeness of information in the Preventive Maintenance Checklist and IT Service Form.</p> <p>Monitoring including documentation for Daily Checklist for IT Equipment must be ensured.</p>
6	Financial Management	<p>Review the Risk Register in terms of identification of appropriate risk treatment action plan to mitigate the risk.</p>
7	Internal and External Communication	<p>Risk related to OLCIMS must be identified, assessed and take actions as applicable. (i.e. System Downtime, Internet Dependency, etc.)</p> <p>Consider establishing objectives and targets related to the process. (i.e. 100% issuance of inbound and outbound documents, and alike.)</p>

5 Dates

Due Date for the next audit

2022 – March 17

Agreed date for the next audit

2022 – March 17 (+0/-3 months)

October 3, 2021


Minda Fe Villapando/Michelle Pestañas

Date

Audit Leader / Auditor(s)