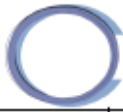


## AUDIT REPORT

Continuation Page

Client ID No:	Date of Audit:	Page	of
Item #	<p>POTENTIAL NON-CONFORMITIES (STAGE 1 AUDITS ONLY) / OPPORTUNITIES FOR IMPROVEMENT</p> <p>NB: FOR STAGE 1 AUDITS POTENTIAL NON-CONFORMITIES MAY RESULT IN THE STAGE 2 AUDIT BEING DELAYED OR THESE BECOMING DOCUMENTED NON-CONFORMANCES DURING THE STAGE 2 AUDIT</p>		
	<p><b><u>Name of Organization:</u></b> Philippines National Police – Crime Lab – Reg Office 3  <b><u>Dates/ s of Audit :</u></b> 11 Nov 2021  <b><u>Auditor :</u></b> Renato G. Madrid Jr.  <b><u>Audit Standard/s:</u></b> ISO 9001:2015  <b><u>Audit Scope :</u></b> Provision of forensic investigation services  <b><u>Audit Type :</u></b> S1  <b><u>Areas/Functions/Processes Audited:</u></b>  <b>9</b> Review of Internal and External Issue, Needs and Expectation of Interested Parties; Action Plan to Address Risks and Opportunities (Clause 4.1, 4.2, 6.1)  <b>10</b> Forensic Investigation -Medico Legal; Chemistry Examination; Firearms Identification &amp; Examination (Clause 6.2, 8, 9.1, 10.1 and 10.2)  <b>11</b> Forensic Investigation – Fingerprint Identification &amp; Examination; Physical Identification &amp; Examination; Forensic Photography Analysis; Scene of the Crime (Clause 6.2, 8, 9.1, 10.1 and 10.2)  <b>12</b> Result of Internal Audit (Clause 9.2, 10.1, 10.2)</p> <p><b><u>Audit Findings (per area/function/process):</u></b></p> <p><b>Review of Internal and External Issue, Needs and Expectation of Interested Parties; Action Plan to Address Risks and Opportunities</b></p> <p>1 Conformities:  a) Issues  i) The organization has determined internal and external issues relevant to its operations. Samples of issues include :  (1) Internal  (a) Strengthened organizational structure  (b) Acquisition of modern equipment  (c) On-going ISO scope expansion program  (d) Inadequate qualified personnel  (2) External  (a) Supportive PNP Leadership  (b) Public perception, opinion, interest to Crime Lab operations  (c) Exclusion in strategic program/ budget plan</p> <p>RP1-CIP-2020 b) Interested Parties</p>		



- v) Major NC = 0
- vi) CAR's Issued = 11
- c) Four issued CAR's were examined (3 minor NC and 1 potential NC) :
  - i) CAR-015-21 : Minor NC - Monitoring tool (KPM) not presented
  - ii) CAR-016-21 : Minor NC - Masterlist of QMS Documents & Records Retention was not presented during the audit
  - iii) CAR-079-21 : Minor NC - PM not done for Feb to July (Physical Identification)
- d) All CAR's were seen to be handled properly with CA implemented and verification of implementation scheduled in 3 months after application of CA. Effectiveness of CA is verified in the next IQA.

2 Opportunity/ies for Improvement (if any):

- a) None

3 Nonconformity/ies(if any):

- a) None

4 Potential Nonconformity/ies (if any) Stage 1 only

**5 Verification of Previous NC**

No previous NC.

=====END OF RP1-1 RGM=====