



**AUDIT REPORT**  
Continuation Page

Client ID No:	3775	Date of Audit:	10 Nov 2021	Page	of
Item #	<p>POTENTIAL NON-CONFORMITIES (STAGE 1 AUDITS ONLY) / OPPORTUNITIES FOR IMPROVEMENT NB: FOR STAGE 1 AUDITS POTENTIAL NON-CONFORMITIES MAY RESULT IN THE STAGE 2 AUDIT BEING DELAYED OR THESE BECOMING DOCUMENTED NON-CONFORMANCES DURING THE STAGE 2 AUDIT</p>				
RP1-CIP-2020	<p><b><u>Name of Organization:</u></b> Philippine National Police – Crime Lab – QC Police District  <b><u>Dates/ s of Audit :</u></b> 10 Nov 2021  <b><u>Auditor :</u></b> Renato G. Madrid Jr.  <b><u>Audit Standard/s:</u></b> ISO 9001:2015  <b><u>Audit Scope :</u></b> Provision of forensic investigation services.  <b><u>Audit Type :</u></b> S1  <b><u>Areas/Functions/Processes Audited:</u></b></p> <ol style="list-style-type: none"> <li>1. Review of Internal and External Issue, Needs and Expectation of Interested Parties; Action Plan to Address Risks and Opportunities (Clause 4.1, 4.2, 6.1)</li> <li>2. Forensic Investigation -Medico Legal, Chemistry Examination, Firearms Identification &amp; Examination (Clause 6.2, 8, 9.1, 10.1 and 10.2)</li> <li>3. Forensic Investigation – Fingerprint Identification &amp; Examination; Physical Identification &amp; Examination; Scene of the Crime; Forensic Photography Analysis (Clause 6.2, 8, 9.1, 10.1 and 10.2)</li> <li>4. Result of Internal Audit (Clause 9.2, 10.1, 10.2)</li> </ol> <p><b><u>Audit Findings (per area/function/process):</u></b></p> <p><b>E. Context of the Organization/ Actions to Address Risks &amp; Opportunities</b></p> <p>5 Conformities:</p> <ol style="list-style-type: none"> <li>a) Issues             <ol style="list-style-type: none"> <li>i) The organization has determined internal/ external issues important to the firm using SWOT and PESTLE analysis. A sample of these issues include :                 <ol style="list-style-type: none"> <li>(1) Internal                     <ol style="list-style-type: none"> <li>(a) Personnel vulnerable to COVID infections</li> <li>(b) Insufficiently number of technically trained personnel</li> <li>(c) Inadequate qualified personnel</li> </ol> </li> <li>(2) External                     <ol style="list-style-type: none"> <li>(a) Supportive stakeholders</li> <li>(b) PNP policies/ guidelines</li> <li>(c) Public perception, opinion and interest in QCPD Crime Lab.</li> </ol> </li> </ol> </li> <li>b) Interested Parties             <ol style="list-style-type: none"> <li>i) Interested parties whose requirements can affect the organization were also identified. These parties include :                 <ol style="list-style-type: none"> <li>(1) QCPDCLO Personnel – career growth, continuous sustainable training</li> <li>(2) Top Mgt – merit based positioning of key officers</li> </ol> </li> </ol> </li> </ol> </li> </ol>				



d) All CAR's were seen to be handled properly with CA implemented and verification of implementation scheduled in 3 months after application of CA. Effectiveness of CA is verified in the next IQA.

6 Opportunity/ies for Improvement (if any):

a) The organization may want to consider the following improvements :

- i) Verify implementation of corrective actions a short time after implementation date. Do not wait 3 months as it may be too late.
- ii) Likewise, verification of CA effectiveness can be done a month or two after implementation of the CA. Don't wait until the next IQA which could be in six or more months. This might be too late to remedy ineffective CA.

7 Nonconformity/ies(if any):

a) None

8 Potential Nonconformity/ies (if any) Stage 1 only

**H. Verification of Previous NC**

2 No previous NC.

=====END OF RP1-1 RGM=====