


AUDIT REPORT

Client ID No/ 3775	Date of Audit: 9-12 Nov 2021	Total Pages:
Pre-Audit <input type="checkbox"/>	Stage 1 Audit <input type="checkbox"/>	Stage 2 Audit <input type="checkbox"/>
Transition <input type="checkbox"/>	Special / Extension <input type="checkbox"/>	Follow-Up <input type="checkbox"/>
Surveillance Audit <input checked="" type="checkbox"/>	Unannounced <input type="checkbox"/>	Re-Assessment Audit <input type="checkbox"/>
Organization Name & Address: Philippine National Police – Crime Laboratory		Audit Location (if different) of site visit, including dates of audit at the sites: (Remote audit)
Standard(s) to be covered by certification: <input checked="" type="checkbox"/> ISO 9001 <input type="checkbox"/> ISO 14001 <input type="checkbox"/> OHSAS 18001 <input type="checkbox"/> ISO 45001 <input type="checkbox"/> ISO 27001 <input type="checkbox"/> ISO 22000 <input type="checkbox"/> ISO 50001 <input type="checkbox"/> ISO 55001 <input type="checkbox"/> HACCP <input type="checkbox"/> Others, please specify:		
Recommended Scope:(Attach extra page if necessary) Provision of forensic investigation services.		
Exclusion/s, if any: (Identify the exclusion/s and justification) 8.3 Design & development of products & services		
Justification : The organization does not design its own services. All services are based on regulatory or industry requirements.		
Functional Areas or Processes Audited: (please enumerate): <ol style="list-style-type: none"> 1. Regional Crime Laboratory Office 11 <ol style="list-style-type: none"> a. Review of Internal and External Issue, Needs and Expectation of Interested Parties; Action Plan to Address Risks and Opportunities Clause 4.1, 4.2, 6.1) b. Forensic Investigation -Medico Legal, Chemistry Examination, Firearms identification and examination (Clause 6.2, 8, 9.1, 10.1 and 10.2) c. Forensic Investigation -Fingerprint Identification and examination, Physical Identification and Examination, Scene of the Crime -DNA Analysis (Clause 6.2, 8, 9.1, 10.1 and 10.2) d. Result of Internal Audit (Clause 9.2, 10.1, 10.2) e. Administrative Resource and Management -Training -Discipline, Law and Order -Logistics (Clause 6.2, 7.3, 8, 9.1, 10.1 and 10.2) f. Operations Management -Police Community Relations -Operation -Intelligence (Clause 6.2, 8, 9.1, 10.1 and 10.2) g. Customer Satisfaction and Complaints Handling (Clause 9.1.2 and 10.2) 2. Regional Crime Laboratory Office 7 <ol style="list-style-type: none"> a. Review of Internal and External Issue, Needs and Expectation of Interested Parties; Action Plan to Address Risks and Opportunities Clause 4.1, 4.2, 6.1) b. Forensic Investigation -Medico Legal, Polygraph Examination, Forensic Photography Analysis (Clause 6.2, 8, 9.1, 10.1 and 10.2) c. Forensic Investigation –Questioned Document Examination, Firearms Identification and Examination, Scene of the Crime Operation (Clause 6.2, 8, 9.1, 10.1 and 10.2) 		

<p>2. Executive Summary to the Client:</p> <p>Conformity of the organization to the requirements of ISO 9001:2015 was found adequate. Quality objectives were seen established in the different process areas/ departments and were monitored, measured and generally attained.</p> <p>Corrective actions are implemented for NC's raised from internal audits, customer complaints or unmet targets that were recorded and corresponding corrections and corrective actions put in place.</p> <p>Process owners were knowledgeable with the procedures in their areas and knew their roles and how they contribute to the success of the Quality Management System.</p> <p>Verification of Previous NC's :</p> <p>1 TEAM-01/ RA :</p> <ul style="list-style-type: none"> a) Forensic Investigation – NC is closed. b) Service Support Section – NC is closed. c) Operation Management Div – NC is closed. d) Forensic Investigation <ul style="list-style-type: none"> i) SOCO – NC is closed. ii) Polygraph Clause 9.1.1 – NC is closed. iii) Photography Clause 9.1.1 – NC is closed. iv) Chemistry Clause 9.1.3e– NC is closed. v) Polygraph Clause 9.1.3e– NC is closed. vi) Photography Clause 9.1.3e – NC is closed <p>2 TEAM-02/ RA</p> <ul style="list-style-type: none"> a) Fingerprints Identification & Examination – NC is closed. b) Photography – NC is closed. <p>3 EPA-01/ RA</p> <ul style="list-style-type: none"> a) Physical Identification – NC is closed. 	<table border="1"> <tr> <th colspan="2">Action Requests raised</th> </tr> <tr> <th># Major</th> <th># Minor</th> </tr> <tr> <td>0</td> <td>3</td> </tr> </table> <p>Initial date AR response due: 26 Dec 2021</p>	Action Requests raised		# Major	# Minor	0	3
Action Requests raised							
# Major	# Minor						
0	3						
<p>This Report consists of this document (RP1) <input checked="" type="checkbox"/>, attachments (RP1-1) <input checked="" type="checkbox"/> and action requests (RP2) <input checked="" type="checkbox"/> as indicated</p>							
<p><u>OH&S Management System audits only.</u></p> <p>In case of OHSMS, have all activities, products and services within the organisation's control or influence that can impact the organisation's OHSMS performance been included in the management system? <input type="checkbox"/> yes <input type="checkbox"/> no</p> <p>Is a Special Audit recommended following an OHSMS reportable serious incident or breach of regulation? <input type="checkbox"/> yes <input type="checkbox"/> no</p> <p>State justification:</p> <p>Has there been a closure of facilities/work areas since the last audit? <input type="checkbox"/> yes <input type="checkbox"/> no</p> <p>If Yes, confirm that new risks have been identified and handled in compliance with requirement. Provide evidence.</p> <p>Are there any areas of concern (i.e. for OHSMS a serious accident or incident or breach of OHS regulation necessitating the involvement of the competent authority) that could be classified as a nonconformity during stage 2 or would affect the transfer of certification? <input type="checkbox"/> yes <input type="checkbox"/> no If yes, please specify:</p> <p>Are there any relevant regulatory requirements that have been identified as a non-conformance and needed to be communicated to the organisation? <input type="checkbox"/> yes <input type="checkbox"/> no Please provide details.</p>							



Lead Auditor Name/ Signed  Date 14 Nov 2021		Company Representative Name/ Signed Date	
Audit Team Members: Lead Auditor		Renato G. Madrid Jr.	
Auditor 1		Janet N. Safranca	
Auditor 2		Justo R. Batoon Jr.	
Auditor 3		Ramon A. Navarette	
Auditor 4/Technical Expert		Paul Timothy C. Hupanda	
3. Audit Summary What to report on within this section: Stage 1 (a) Comment on compliance of management system documentation (b) Level of preparedness (c) Identification of sites whether they are to appear on certificates or just support the main site and if they are considered key sites (attach list if possible) All Audits. (a) Confirm Audit Plan was covered or provide details if not. (b) Comment on the organization's current activities related to the scope (existing business, new business etc.). (c) Comment on level of compliance with the relevant standard(s), (d) Comment on <u>effectiveness of links</u> between standards, organization's policy, objectives and targets, legal requirements, responsibilities, personnel competence, operations, procedures, performance data and internal findings and conclusions as appropriate (e) Key positive comments			
<p>The audit was able to cover all areas indicated in the Audit Plan. The organization's present activities were seen to correlate with the scope of services stated. Compliance to relevant requirements of the standard was confirmed with few if any lapses.</p> <p>The organization complied with requirements of the ISO 9001:2015 standard with regards to the Quality Policy, quality objectives as well as legal requisites. Forensic Investigation, Administrative Resource & Management, Operations Management, Legal Office & Inspectorate and Training & Doctrine Development Section function under controlled conditions. Procedures are documented/ followed and audited to ensure consistent implementation of plans and established processes.</p> <p>Key processes are monitored and performance data are analyzed to find areas for improvement. Nonconformities are acted upon with corrections/ corrective actions and verified for effectiveness before closure.</p> <p>Key good points : 1) Quality Objectives are closely monitored and actions taken for unmet goals; 2) QMS monitoring & measurement are carried out well through IQA, Management Reviews, process & product monitoring and review of quality objectives 3) Leadership is highly committed to customer satisfaction and operating an effective QMS.</p>			